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Form PTO/105 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. TRD-001XX	APPLICATION NO. 09/587,619 <i>RECEIVED</i> <i>APR 16 2001</i> <i>Technology Center</i>
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		APPLICANT: James P. Coppola III	FILING DATE: 06/05/2000 GROUP: 2761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT APPROPRIA
SyS	6,125,355	09/26/2000	Bekaert et al.	705	36	
SyS	6,012,044	01/04/2000	Maggioncalda et al.	705	36	
SyS	5,918,217	06/29/99	Maggioncalda et al.	705	36	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLAT
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

SyS	Are Investors Reluctant To Realize Their Losses?, Terrance Odean, University of California Davis Graduate School of Management, January-March 1998.
SyS	Tip-offs from top traders, Ginger Szala et al., <u>Futures</u> , "Managed Money", July 1994, pp. 54 & 56.
SyS	Determining Optimal Risk, Ed Seykota et al., <u>Technical Analysis of Stocks & Commodities</u> , "Money Management", 1993.
SyS	Of Dubious Origin, But Valuable, Ed Seykota, <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
SyS	The Elements of Successful Trading, Van K. Tharp, Ph.D., <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
SyS	Balancing Act, Mike DeAmicis-Roberts, <u>Futures</u> , "Trading Techniques", September 1995, pp. 46-47.
SyS	Fine tuning money management with f, Murray A. Ruggiero Jr., <u>Futures</u> , "Technology and Trading", September 1995, pp. 48-50.
SyS	Sheldon Knight: Patience as a Virtue, Mark Etzkorn, <u>www.futuresmag.com</u> , "Trader Profile", April 1998.
SyS	A "Scenario Planning" Approach To Optimal Money Management, Ralph Vince, <u>MTA Journal</u> , Summer 1993, pp. 14-21.
SyS	Value at Risk and Technical Analysis, Luis Ballesca, <u>Technical Analysis of Stocks & Commodities</u> , "Novice Trader", August 1999, pp. & 43.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.